Infrared Spectroscopy of the Microhydrated Nitrate Ions NO₃⁻(H₂O)₁₋₆[†]

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We present infrared photodissociation spectra of the microhydrated nitrate ions $NO_3^-(H_2O)_{1-6}$, measured from 600 to 1800 cm⁻¹. The assignment of the spectra is aided by comparison with calculated B3LYP/aug-cc-pVDZ harmonic frequencies, as well as with higher-level calculations. The IR spectra are dominated by the antisymmetric stretching mode of NO_3^- , which is doubly degenerate in the bare ion but splits into its two components for most microhydrated ions studied here due to asymmetric solvation of the nitrate core. However, for $NO_3^-(H_2O)_3$, the spectrum reveals no lifting of this degeneracy, indicating an ion with a highly symmetric solvation shell. The first three water molecules bind in a bidentate fashion to the terminal oxygen atoms of the nitrate ion, keeping the planar symmetry. The onset of extensive water—water hydrogen bonding is observed starting with four water molecules and persists in the larger clusters.

I. Introduction

Nitrate ions, NO₃⁻, are ubiquitous in aqueous-phase chemistry. They are of importance in diverse fields like atmospheric chemistry1 and nuclear waste treatment2 and as a source of nitrogen for the production of nucleic acids and proteins.³ NO₃⁻ is one of the most abundant ionic species in the troposphere and can be formed by rapid acid dissolution of nitric acid in aerosol particles.¹ The heterogeneous chemistry of aerosols containing such strong acids is of importance for many atmospheric cycles and is primarily governed by the nature of the aerosol surface, which determines if the adsorbed acid molecule dissociates and how the conjugate base anion is hydrated.⁴ It is difficult to obtain such detailed microscopic information directly from bulk measurements. Spectroscopic experiments on isolated anion-water clusters can aid in characterizing the solvent-solute (and solvent-solvent) interaction at the molecular level one water molecule at a time.^{5,6} In this work we investigate the microhydration of NO₃⁻ through the infrared spectroscopy of $NO_3^-(H_2O)_n$ clusters, n = 1-6.

The gas-phase vibrational spectroscopy of $NO_3^- \cdot Ar$ was studied recently by Johnson and co-workers. $^7 NO_3^-$ is planar and has three equivalent N-O bonds (D_{3h} symmetry). The six vibrational degrees of freedom lead to two bending and two stretching modes, of which two are doubly degenerate. The two bending modes (e' and a_2'') are infrared-active but have low intensity. The symmetric stretching vibration ν_1 (a_1') is not infrared-active, while the degenerate ν_3 (e') antisymmetric stretching vibration is infrared-active. The vibrational predissociation spectrum of $NO_3^- \cdot Ar$ reveals only a single band at 1349 cm^{-1} , which was assigned to the ν_3 mode, suggesting that the nitrate ion retains its three-fold symmetry in the Ar complex.

In contrast, this degeneracy does not persist in extended solid and liquid environments. Solvent as well as counterion interactions in aqueous media are sufficiently strong to perturb the electronic structure of NO_3^- , resulting in a distortion from the D_{3h} symmetry of the bare anion. These effects lift the degeneracy of vibrational transitions, and render all six vibrational modes both Raman- and IR-active. For finite systems, either aqueous clusters or systems with extended interfaces, molecular dynamics simulations have predicted that nitrate ions, due to their relatively large molecular polarizability, prefer interfacial rather than bulk solvation. However, vibrational sum-frequency spectroscopy, 12 in combination with more recent calculations, 13,14 suggests that acid dissociation is thermodynamically disfavored at the water/air interface, so that nitrate ions are bulk-solvated and molecular HNO3 is present at the surface.

Several experiments have been performed on microhydrated NO_3^- ions, that is, small $NO_3^-(H_2O)_n$ clusters. Lee et al. 15 measured the sequential hydration energies for $NO_3^-(H_2O)_n$ up to n = 3, obtaining values of 61 (1 \rightarrow 0), 60 (2 \rightarrow 1), and 58 kJ/mol (3 \rightarrow 2). Wang and co-workers¹⁶ measured the photoelectron spectra for these ions up to n = 6. Aided by B3LYP/ aug-cc-pVXZ (X = D or T) calculations, they concluded that the first three water molecules form the first solvation shell, giving rise to a highly symmetric NO₃⁻(H₂O)₃ cluster. The next three water molecules form a second solvation shell without direct contact to NO₃⁻. More recently, Pathak et al. 17 used B3LYP/6-311++G** calculations to investigate the energies for a large number of different isomers up to NO₃⁻(H₂O)₈. Their findings are distinctly different from previous findings; starting with n = 3, interwater H-bond formation is competitive with nitrate—water binding, leading to the formation of water rings with nitrate preferring a surface position. With the exception of the smallest ions, they predict different structures compared to those obtained by Wang et al. 16 Differences from theory for hydrated NO₃-, even in the case of small ions, are welldocumented. Several publications suggest either a symmetric $C_{2\nu}$ isomer for the monohydrated ion with two equivalent H-bonds^{17–19} or a slightly asymmetric variant of C_s symmetry

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with two nonequivalent H-bonds. 16,20-23 These variations appear to be dependent on the level of theory and basis sets used in the calculation. Also, for larger clusters, for example, $n = 3^{16,17,22}$ and 6,16,17,20 both highly symmetric and asymmetric structures have been proposed as ground-state isomers.

Clearly, many open questions remain regarding the hydration of nitrate ions at the molecular level. Here, we use vibrational spectroscopy of gas-phase clusters to address some of these problems. We measure IR spectra of mass-selected nitrate-water clusters from 600 to 1800 cm⁻¹, the spectral region of characteristic vibrational modes of the ion and the solvent molecules, at low temperature and in the absence of a counterion. This method has proven very useful in recent studies on the microhydration of anions, for example, SO_4^{2-} and SF_6^{-} , $^{24-26}$ and on water cluster anions. 27,28 Similar to SO_4^{2-} , NO_3^{-} is of sufficiently high symmetry to support degenerate vibrational levels. This degeneracy can be lifted upon asymmetric solvation, leading to a splitting of vibrational levels and additional bands in the experimental IR spectra, thereby directly probing the hydration shell environment.

II. Experimental Methods

Infrared multiple photon photodissociation (IRMPD) experiments²⁹⁻³¹ were carried out on a previously described ring electrode trap-time-of-flight mass spectrometer,³² which was temporarily installed at the Free Electron Laser for Infrared eXperiments (FELIX) user facility³³ in the FOM Institute, Rijnhuizen (The Netherlands).

Microhydrated NO₃⁻ ions were produced by electrospray of a 5 mM solution of ammonium nitrate in a 50:50 mix of water/ acetonitrile using a modified Waters z-spray source. The negative ions were transferred into the high-vacuum system, sampled by a 3 mm diameter skimmer, and focused into a radio frequency (RF) linear ion guide. Ions of interest were massselected in a quadrupole mass filter, deflected 90° by an electrostatic quadrupole ion deflector, and focused into the linear ring electrode RF ion trap. The ion trap was filled with He buffer gas and cooled to 10 K by means of a closed cycle He cryostat. Collisions with the He buffer gas cooled the ions close to the ambient temperature.

IRMPD spectra were obtained by accumulating ions for 195 ms, extracting them into the extraction region of a time-of-flight mass spectrometer, and irradiating them with pulsed radiation from FELIX prior to the application of the high-voltage pulses for the measurement of the TOF mass spectra. FELIX macropulses were produced at 5 Hz with a pulse length of 5 μ s, a wavelength bandwidth of 0.25% rms over the range from 600 to 1800 cm⁻¹, and typical pulse energies of up to 50 mJ.

III. Computational Details

Electronic structure calculations were performed using the Gaussian 98 and 03 programs. 34,35 Geometry optimizations were performed for a large number of different isomers of NO₃⁻(H₂O)₁₋₆ clusters using density functional theory, employing the B3LYP hybrid exchange—correlation functional^{36,37} and the 6-31+G* basis set. Vibrational frequencies were calculated to ensure that the structures corresponded to local minima. The lowest-energy isomers located from this search were then optimized using the augmented correlation-consistent polarized valence basis set aug-cc-pVDZ.38,39 In some instances, small differences in structures between isomers were observed, for instance, a H-atom pointing up or down. In such a case the lowest-energy form was used, but for the purposes of our study, these were not considered distinct isomers, helping to reduce the computational load. Simulated linear absorption spectra were derived from B3LYP/aug-cc-pVDZ scaled harmonic frequencies (scaling factor: 0.97)40 and intensities. In order to facilitate comparison with experiment, the resulting stick spectra were convoluted using a Gaussian line shape function with a width of 15 cm⁻¹ (fwhm). All dissociation energies include vibrational zero-point energies and were corrected for the basis set superposition error using the counterpoise correction.⁴¹

To test the reliability of the results, we performed additional calculations with larger basis sets (aug-cc-pVTZ and aug-ccpVQZ) and other single determinant methods, in particular, MP2 and CCSD(T). 42,43 In some cases, anharmonic frequencies were determined by numerical differentiation along normal modes using the ANHARMONIC option. For the coupled cluster theory calculations, the T1 diagnostic,44 which is a rough measure of the importance of nondynamical correlation effects, was also evaluated. A sufficiently small T1 value (<~0.02) indicates that the CCSD(T) relative energies should be close to the full CI values.

IV. Results

IRMPD spectra of $NO_3^-(H_2O)_n$ with n = 1-6 are shown in Figure 1. The total fragment ion yield, that is, the sum of all individual fragmentation channels, is shown as a function of the photodissociation laser wavelength and reflects the absorption spectrum of the parent ion. This signal is nearly backgroundfree, in contrast to monitoring depletion of the parent ion. Table 1 lists all fragmentation channels observed from photodissociation of the $NO_3^-(H_2O)_n$ series and their relative intensities. Channels involving loss of one or more water molecules are the only fragmentation pathways observed. For the n = 1-4ions, water loss down to the formation of bare NO₃⁻ is observed. For n = 5 and 6, fragments corresponding to loss of up to three water molecules are observed. We also find that for n = 6, the loss of three waters appears to be more efficient than loss of two water molecules. Loss of multiple waters can be enhanced by fragment absorption since they have very similar spectra.

Overview IRMPD spectra (600-1800 cm⁻¹) are shown in panel (a) of Figure 1. Spectra with smaller step sizes and longer acquisition times are shown in panel (b), from 1265 to 1465 cm⁻¹, and panel (c), from 1610 to 1710 cm⁻¹. Most spectra show a pair of peaks centered around 1350 cm⁻¹ (labeled A in Figure 1) and a weaker band around 1660 cm⁻¹ (labeled B in Figure 1). Features A and B correspond to the NO₃⁻ antisymmetric stretching mode v_3 and the water bending modes, respectively. Very weak, if any, signal is observed below 1200 cm⁻¹, where the water librational modes are expected. Feature A shows a pronounced dependence on the number of water molecules in the cluster. A doublet of similarly intense peaks is observed for n = 1, 2, 5, and 6, the center and splitting of which is size-dependent. For n = 3, only a single intense and narrow band is observed, while n = 4 is intermediate between the two situations; band A is broad with a hint of a doublet, and an additional, somewhat weaker absorption feature is observed at slightly higher energies (∼1390 cm⁻¹). Band B is not observed for n = 1, increases in relative intensity, and, starting with n = 3, broadens and blue shifts with increasing

Experimental and calculated band positions for bare and microhydrated NO₃⁻ ions are summarized in Table 2. Results for several characteristic low-energy isomers, including relative energies corrected for zero-point energies, are listed. In some cases, not all possible structural isomers are listed; we concentrated on finding the global minimum-energy structure, as well

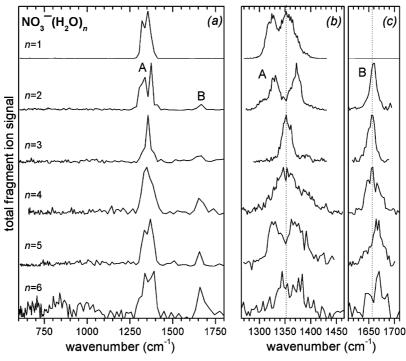


Figure 1. Experimental IRMPD spectra of $NO_3^-(H_2O)_n$ ions with n = 1-6. The total fragment ion yield is plotted as a function of the photon energy (cm⁻¹). (a) Overview scans were measured in the spectral region from 600 to 1800 cm⁻¹. (b,c) Finer scans, covering the range of the NO_3^- antisymmetric stretching (band A) and water bending modes (band B) are also shown.

TABLE 1: Observed Photofragmentation Channels and Relative Intensities for Microhydrated NO₃⁻ Ions

parent ion	fragmentation channels	relative intensities ^a
NO ₃ ⁻•H ₂ O	$-H_2O$	1.00
$NO_3^-(H_2O)_2$	$-(H_2O)_{1,2}$	1.00, 0.07
$NO_3^-(H_2O)_3$	$-(H_2O)_{1-3}$	1.00, 0.31, 0.18
$NO_3^-(H_2O)_4$	$-(H_2O)_{1-4}$	1.00, 0.23, 0.13, 0.06
$NO_3^-(H_2O)_5$	$-(H_2O)_{1-3}$	1.00, 0.46, 0.09
$NO_3^-(H_2O)_6$	$-(H_2O)_{1-3}$	1.00, 0.18, 0.26

^a Obtained from the fragmentation pattern measured at the wavelength corresponding to the maximum of the most intense band in the IRMPD spectrum.

as energetically higher-lying isomers that are distinctly different from the global minimum structure, that is, that belong to a different "class" of isomers. The optimized structures and simulated linear absorption spectra are shown together with the experimental IRMPD spectra of the corresponding cluster ion in Figures 2–7. The following sections summarize the theoretical and experimental results for each cluster.

IVA. $NO_3^- \cdot H_2O$. The experimental IRMPD spectrum of NO₃-·H₂O together with simulated linear absorption spectra of three possible structures is shown in Figure 2. We found two low-lying minimum-energy structures, labeled 1-I' and 1-II in Figure 2, which correspond to the doubly and singly H-bonded in-plane isomers. The doubly H-bonded species is 8 kJ/mol more stable than the singly H-bonded one. In both cases, addition of the water molecule breaks the three-fold symmetry of NO₃⁻, lifting the degeneracy and inducing a pronounced splitting of the v_3 band. The simulated spectra of isomers **1-I'** and 1-II reproduce the position of the v_3 band but overestimate the extent of the splitting (1-I': 59 cm⁻¹; 1-II: 67 cm⁻¹) compared to that of the experiment (29 and 33 cm⁻¹ after deconvolution). The spectrum of 1-I' does fit better with regard to the observed intensity ratio (compared to that of 1-II). The calculations also predict two features of lower intensity, corresponding to the water bending (1644 cm⁻¹) and libration modes (735 cm⁻¹), which are not observed experimentally. Possible reasons for the absence of these bands from the experimental IRMPD spectra are discussed later.

As previously reported, ¹⁶ the potential energy surface (PES) of NO₃⁻·H₂O exhibits a symmetrically bound C_{2v} transition state **1-I** with identical H-bond lengths (2.05 Å, B3LYP/aug-cc-pDVZ), very close in energy to the asymmetrically bound, doubly H-bonded species **1-I'**, characterized by two different H-bond lengths (1.86 and 2.41 Å). This first-order transition state lies only +0.4 kJ/mol higher in energy than the C_s minimum structure **1-I'**. Thus, while the lowest-energy structures are the slightly asymmetric ones, the vibrationally averaged structures are likely to be symmetric. Interestingly, the C_{2v} structure does represent the global minimum on the B3LYP surface when the smaller and less flexible 6-31+G* basis set is used. With the 6-31++G** basis set used by Pathak et al., ¹⁷ the two structures are nearly isoenergetic (see Table 3).

We also performed MP2 and CCSD(T) calculations, as well as B3LYP calculations using larger basis sets (see Table 3). With the exception of the B3LYP/6-31+G* calculation, the C_s structure represents the global minimum independent of the type of single-reference method and the size of basis set used. The value of the T1 diagnostic for the CCSD(T) calculations is 0.02, a value on the borderline of suggesting that a multireference determinant approach is required to adequately describe the ground-state geometry of $NO_3^- \cdot H_2O$.

The calculated splitting of the v_3 band (see Table 3) reveals no pronounced dependence on structure (C_{2v} versus C_s), method, or basis set used. It is nearly twice as large (54–60 cm⁻¹) as the experimentally observed splitting. While the scaled harmonic B3LYP and CCSD(T) frequencies lie within 3% of the experimental values, the MP2 frequencies are consistently too high by as much as 7%. Including anharmonicity explicitly improves the agreement only slightly for the B3LYP/aug-cc-pVDZ calculation but not for MP2/aug-cc-pVDZ. The simulated spectra of **1-I** and **1-I**′ are very similar in the region discussed

TABLE 2: Experimental and Calculated IR Absorption Frequencies (cm⁻¹) of the Nitrate Antisymmetric Stretching (band A) and Water Bending Modes (band B) for Bare and Microhydrated NO₃⁻ Ions along with Scaled^a Harmonic B3LYP/aug-cc-pVDZ Frequencies and Relative Energies (in kJ/mol) for Several Energetically Low-Lying Structures

ion	etructure	symmetry	energy ^b	band A	band B
1011	structure	symmetry	(kJ/mol)	(cm^{-1})	(cm^{-1})
NO ₃	exp			1349 ^c	
	r	D_{3h}	0.0	1340	
$NO_3^- \cdot H_2O$	exp	Sn		1324, 1353	
	1-I'	C_s	0.0(0.0)	1324, 1383	1654
	1 - \mathbf{I}^e	C_{2v}	(0.4)	1325, 1379	1648
	1-II	C_s	7.7 (9.3)	1325, 1393	1633
$NO_3^-(H_2O)_2$	exp	3	()	1329, 1372	1661
3 (2 /2	2-Ĭ′	C_s	0.0(0.0)	1359	1640
	$2-I^e$	C_{2v}	(1.2)	1330, 1380	1633
	2-II	C_1^{2v}	5.3 (3.3)	1319, 1407	1649
	2-III	$C_{\mathfrak{s}}$	6.7 (8.0)	1349, 1374	1648
$NO_3^-(H_2O)_3$	exp	3	. ,	1352	1655
3 (2 /3	3- I ′	C_{3v}	0.0(0.0)	1363	1635
	$3-I^e$	D_{3h}	(2.3)	1355	1625
	3-II	C_1	5.8 (-1.4)	1306, 1422	1623
	3-III	C_1	6.3 (3.0)	1314, 1416	1608
	3-IV	C_3	10.5 (2.6)	1352	1647
	3-V	C_{3h}	17.3 (20.7)	1372	1626
$NO_3^-(H_2O)_4$	exp			1345, 1357	1657
2 . 2	4-Ì	D_{3h}	0.0(0.0)	1342, 1372	1643
	4-II	C_{3v}	2.1 (14.2)	1332, 1397	1629
	4-III	C_1	3.5 (9.2)	1337, 1392	1633
	4-IV	C_1	7.0 (15.3)	1305, 1444	1633
$NO_3^-(H_2O)_5$	exp			1327, 1368	1667
	5-Ì	C_1	0.0(0.0)	1327, 1391	1643
	5-II	C_1	8.3 (10.8)	1288, 1435	1643
	5-III	C_1	11.1 (13.5)	1318, 1408	1633
	5-IV	C_1	11.2 (18.1)	1331, 1397	1625
$NO_3^-(H_2O)_6$	exp			1345, 1374	1670
	6-Î	C_1	0.0(0.0)	1330, 1399	1631
	6-II	C_1	4.1 (5.0)	1325, 1395	1644
	6-III	C_1	7.0 (9.6)	1325, 1395	1641
	6-IV	C_1	18.7 (20.0)	1316, 1410	1637

^a Scaling factor: 0.97. ^b With and without (in parentheses) zero-point energy. ^c Value from ref 7. ^d Only the band maximum of band B in the simulated spectra is listed. e First (n = 1), second (n = 1)= 2), and third (n = 3) order transition state, respectively.

here (see Figure 2 and Table 3), and do not allow one to decide if the water molecule is bound symmetrically or asymmetrically to the ionic core. However, they do differ significantly in the O-H stretching region, and experiments in this spectral region could be used to distinguish between the C_{2v} and C_s structures. Finally, the B3LYP, MP2, and CCSD(T)/aug-cc-pVDZ dissociation energies are 55, 64, and 66 kJ/mol, respectively, in reasonable agreement with the experimental value (61 kJ/mol).¹⁵

IVB. $NO_3^-(H_2O)_2$. The experimental IRMPD spectrum of NO₃⁻(H₂O)₂ is shown in Figure 3. Addition of the second water molecule leads to an increase of the splitting in the v_3 band to 43 cm⁻¹. A weaker, symmetrically shaped band, assigned to the water bending modes, is observed at 1661 cm⁻¹. The lowestenergy structure found (2-I'; see Figure 3) is of $C_{2\nu}$ symmetry and consists of two waters, each bound to the nitrate core by a shorter (1.88 Å) and a longer H-bond (2.54 Å). In analogy to structure **1-I** for n = 1, a transition state (**2-I**, C_{2v} symmetry) in which the four H-bond lengths (2.07 and 2.13 Å) are more similar, is found only slightly higher in energy. Due to the presence of two water molecules, this transition state is of second order and connects several shallow minimum structures (2-I' to **2-I'''**), which all lie close in energy (see Table 4) and differ in the positions of the shorter and the longer H-bonds.

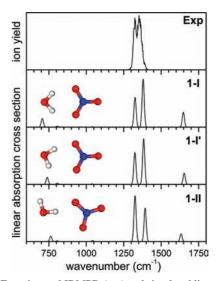


Figure 2. Experimental IRMPD (top) and simulated linear absorption spectra of NO₃⁻•H₂O. Simulated spectra, derived from B3LYP2/augcc-pVDZ scaled harmonic frequencies and intensities and convoluted using a Gaussian line shape function with a fwhm of 15 cm⁻¹, and structures are shown for three isomers (see text).

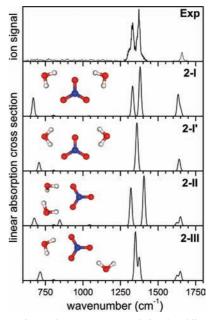


Figure 3. Experimental IRMPD (top) and simulated linear absorption spectra of NO₃⁻(H₂O)₂. Simulated spectra, derived from B3LYP2/augcc-pVDZ scaled harmonic frequencies and intensities and convoluted using a Gaussian line shape function with a fwhm of 15 cm⁻¹, and structures are shown for four isomers (see text).

The B3LYP calculations predict a characteristic ν_3 band splitting for each one of these structures (Table 4), ranging from 3 cm⁻¹ in **2-I'** to 77 cm⁻¹ in **2-I'''**. The agreement between the experimental value (43 cm⁻¹) and the value of the minimumenergy structure **2-I'** (3 cm⁻¹) is clearly poor, and much better agreement is found for the transition state 2-I (54 cm⁻¹), suggesting that either the minimum-energy structures are real, but the barriers to interconversion are small and an averaged "symmetrically" H-bonded structure is probed by our experiment, or that structures 2-I' to 2-I'' are artifacts of the singledeterminant-based electronic structure calculations. The next higher energy isomer, 2-II (+5 kJ/mol), consists of two water molecules bound to each other with each forming a single H-bond to the nitrate core, followed by 2-III (+7 kJ/mol), which

TABLE 3: Experimental and Calculated IR Absorption Frequencies (cm⁻¹) of the v_3 Mode for NO₃ $^-$ ·H₂O along with Scaled Harmonic^a and Unscaled Anharmonic (in parentheses) Frequencies, As Well As Relative Energies (in kJ/mol) for The $C_{2\nu}$ (1-I) and C_s (1-I') Structures

method	basis set	structure ^b	ΔE	ν_3'	$\nu_3^{\prime\prime}$	Δu_3
EXP				1324	1353	29
B3LYP	6-31+G**	C_{2v}/MIN	0.0	1326 (1346°)	1384 (1407)	58 (61)
	6-311++G**	$C_{2\nu}/\mathrm{TS}$	0.01	1325	1383	58
		C_s/MIN	0.0	1326	1383	57
	aug-cc-pVDZ	$C_{2\nu}$ /TS	0.4	1325	1379	54
		C_s/MIN	0.0	1324 (1330)	1383 (1381)	58 (51)
	aug-cc-pVTZ	$C_{2\nu}$ /TS	0.3	1300	1337	56
		C_s/MIN	0.0	1300	1459	60
	aug-cc-pVQZ	C_{2v}/TS	0.3	1308	1364	56
		C_s/MIN	0.0	1309	1369	59
MP-2	aug-cc-pVDZ	C_{2v}/TS	0.3	1401	1455	54
		C_s/MIN	0.0	1400 (1430)	1459 (1491)	59 (61)
	aug-cc-pVTZ	$C_{2\nu}/\mathrm{TS}$	0.2	1378	1439	62
	- *	C_s /MIN	0.0	1380	1434	54
CCSD(T)	aug-cc-pVDZ	$C_{2\nu}/\mathrm{TS}^d$	0.3	1320	1377	57
	2 1	C_s/MIN^d	0.0	1319	1379	60

^a Scaling factors from ref 45; B3LYP/6-31+G**, 6-31++G** (0.964), B3LYP/aug-cc-pVxZ (0.97), MP2/aug-cc-pVDZ (0.959), MP2/aug-cc-pVTZ(0.953), CCSD(T)/aug-cc-pVDZ (0.982). ^b Symmetry and type (MIN = minimum, TS = transition state). ^c Anharmonic frequency in parentheses. ^d T1 diagnostic: 0.020.

TABLE 4: B3LYP and MP2 Relative Energies (in kJ/mol) without (ΔE) and with (ΔE_0) Zero-Point Energies of Several Optimized, Low-Energy Structures of NO₃⁻(H₂O)₂ along with the Splitting of the NO₃⁻ Antisymmetric Stretching Frequencies ($\Delta \nu_3$, in cm⁻¹)

structure	B3LYP/aug-cc-pVDZ			B3LYP/aug-cc-pVTZ			MP2/aug-cc-pVDZ		
	ΔE	ΔE_0	$\Delta \nu_3$	ΔE	ΔE_0	$\Delta \nu_3$	ΔE	ΔE_0	$\Delta \nu_3$
2-I ^a	1.2		50	1.0		50	0.8		54
2-I'	0.0	0.0	3	0.0	0.0	2	0.0	0.0	12
2-I''	0.0	0.2	54	0.2	0.0	54	0.0	-0.1	57
2-I'''	0.7	0.7	77	0.6	0.4	79	0.4	0.2	88
2-II	3.3	5.3	87	2.6	4.7	89	2.9	4.9	97
2-III	8.0	6.7	25	7.6	6.4	27	9.8	8.4	22

^a Second-order transition state.

is similar to **2-I'** except that one of the waters is singly H-bonded to the nitrate anion. The spectra calculated for **2-II** and **2-III** show poorer agreement with the experimental spectrum than **2-I**

IVC. $NO_3^-(H_2O)_3$. The experimental IRMPD spectrum of NO₃⁻(H₂O)₃ (see Figure 4) shows a single, narrow peak at 1359 cm⁻¹ and a less intense band at 1658 cm⁻¹. In contrast to the IRMPD spectra of the n = 1 and 2 species, the v_3 band in the n = 3 spectrum exhibits no splitting, suggesting a highly symmetric hydration shell which supports degenerate energy levels in the nitrate ion. This is confirmed by the B3LYP calculations, which find the planar isomer 3-I' of C_{3h} symmetry as the global minimum on the PES. In 3-I', the three water molecules each form a shorter and a longer H-bond with NO₃⁻. Similar to the situation for n = 1, there exists a structure, labeled 3-I in Figure 4, in which all three water molecules are symmetrically bound with equal H-bond distances (2.15 Å). **3-I** corresponds to a third-order transition state of D_{3h} symmetry and, similar to n = 1 and 2, likely resembles the vibrationally averaged structure that is probed in the experiment. Both 3-I' and 3-I have very similar spectra, and unlike for 2-I, it is not possible to rule out one structure. B3LYP calculations using the larger aug-cc-pVTZ basis set as well as MP2 calculations (see Table 5) confirm **3-I'** as the lowest-energy structure.

Isomers that contain water—water H-bonds (labeled **3-II** to **3-IV** in Figure 4) are calculated at similar energies (see Table 5). However, when zero-point energy is considered, the DFT calculations disfavor these isomers by 6 kJ/mol or more relative to **3-I'**. This effect is also reproduced by the MP2 calculations,

but the energy differences are smaller. Two of these isomers contain a three-membered water ring, which binds either from

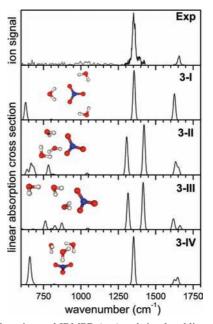


Figure 4. Experimental IRMPD (top) and simulated linear absorption spectra of $NO_3^-(H_2O)_3$. Simulated spectra, derived from B3LYP2/aug-cc-pVDZ scaled harmonic frequencies and intensities and convoluted using a Gaussian line shape function with a fwhm of 15 cm⁻¹, and structures are shown for four isomers (see text).

TABLE 5: B3LYP and MP2 Relative Energies (in kJ/mol) without (ΔE) and with (ΔE_0) Zero-Point Energies of Several Optimized, Low-Energy Structures of NO₃⁻(H₂O)₃ along with the Splitting of the NO₃⁻ Antisymmetric Stretching Frequencies $(\Delta v_3, \text{ in cm}^{-1})$

	B3LYP/aug-cc-pVDZ			B3LYP/aug-cc-pVTZ			MP2/aug-cc-pVDZ		
structure	ΔE	ΔE_0	$\Delta \nu_3$	ΔE	ΔE_0	$\Delta \nu_3$	ΔE	ΔE_0	$\Delta \nu_3$
3-I ^a	2.3		0	1.8		0	1.4		0
3-I'	0.0	0.0	0	0.0	0.0	0	0.0	0.0	0
3-II	-1.4	5.8	116	-2.0	5.9	117	-4.6	3.1	132
3-III	3.0	6.3	101	2.3	6.1	102	-0.8	4.7	136
3-IV	2.6	10.5	0	1.6	10.1	0	-6.5	2.0	0
3-V	20.7	17.3	0	19.5	16.6	0	25.8	22.2	0

^a Third-order transition state.

the side (3-II) or from the top (3-IV), leading to C_1 and C_3 structures, respectively. 3-II was suggested by Pathak et al.¹⁷ as the lowest-energy structure. Due to its pronounced asymmetry, it is predicted to have a characteristically large v_3 splitting of 102 cm⁻¹, which immediately disqualifies it as a possible candidate for the explanation of our measured spectrum for n= 3. Isomer 3-III represents the first isomer that contains a water molecule in the second solvation shell, that is, which does not directly bind to NO₃⁻. This structure also leads to two separate peaks in the water bending region, which is not observed. Isomer 3-V corresponds to the three-fold singly H-bonded version of 3-I'. Note that structures 3-IV and 3-V are of sufficiently high symmetry to support degenerate vibrational levels, and consequently, their simulated IR spectra are characterized by a single peak for the v_3 mode, as is observed in our experimental spectrum. However, our calculations (see Table 5) convincingly show that these isomers lie too high in energy to be considered as possible ground-state structures for n = 3.

IVD. $NO_3^-(H_2O)_4$. The experimental IRMPD of $NO_3^-(H_2O)_4$, simulated linear absorption spectra, and structures of four isomers are shown in Figure 5. The experimental spectrum is different from the previously discussed spectra in that band A is asymmetric and much broader (fwhm of \sim 70 cm⁻¹). There is a hint of two closely spaced maxima with similar intensity, at approximately 1345 and 1357 cm⁻¹. A shoulder with half of the intensity of the main peak is observed at around 1395 cm⁻¹. Band B (1657 cm⁻¹) has grown in intensity and width relative to the spectra of the smaller clusters.

We find **4-I** to be the global minimum-energy structure, which consists of a four-membered water ring sitting on top of the plane spanned by the nitrate ion and in which each water molecule binds to the nitrate ion with a single hydrogen bond. This structure is 2.1 kJ/mol lower in energy than the next isomer found (4-II), which corresponds to the "3 + 1" structure obtained by Wang and co-workers.¹⁶ In 4-II, the nitrate anion is solvated by three waters in a manner analogous to 3-I, with the fourth water molecule occupying the second hydration shell and bound to one of the first hydration shell waters by a single H-bond. The next isomers higher in energy are 4-III (+3.5 kJ/ mol) and 4-IV (+7.0 kJ/mol). 4-III involves a network of three water molecules H-bonded to one another in a ring similar to 3-II, while the fourth water is bound in a bidentate fashion to the nitrate separately. Structure 4-IV consists of two waters singly H-bonded to one of the nitrate O-atoms and a water forming a bridge between the core bound waters, while the fourth water is singly H-bonded to the bridge water.

The spectrum for isomer **4-I**, the lowest-energy isomer found, shows the best agreement with experiment in the region of bands A and B. The calculated splitting of band A, which is barely resolved in the experimental spectrum (12 cm⁻¹), is smallest

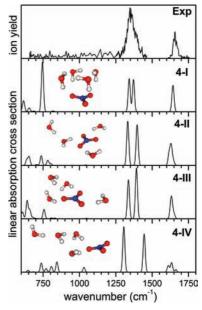


Figure 5. Experimental IRMPD (top) and simulated linear absorption spectra of NO₃⁻(H₂O)₄. Simulated spectra, derived from B3LYP2/augcc-pVDZ scaled harmonic frequencies and intensities and convoluted using a Gaussian line shape function with a fwhm of 15 cm⁻¹, and structures are shown for four isomers (see text).

for isomer **4-I** (30 cm⁻¹) compared to that for the other three isomers (>50 cm⁻¹). The additional structure observed in the experimental spectrum of n = 4 may be rationalized by assuming the presence of a second isomer (4-II) with a relative abundance of roughly 40% with respect to the first isomer. The shoulder observed at \sim 1390 cm⁻¹ agrees well with the predicted absorption band at 1388 cm⁻¹ of 4-II, and its water bending modes are shifted to the red compared to those in 4-I, which could explain the observed broadening of band B compared to that for n = 3. In contrast to the energetically higher-lying isomers, **4-I** is characterized by a very intense peak at 744 cm⁻¹, which is due to a collective wagging motion of the four water molecules comprising the four-membered ring. This peak is not observed in the experimental spectra, possibly due to a conformation change during the IRMPD mechanism (see Discussion and Conclusions).

IVE. NO₃⁻(H₂O)₅. The experimental IRMPD spectrum of NO₃⁻(H₂O)₅ (see Figure 6) reveals three peaks at 1327, 1368, and 1667 cm⁻¹. Compared to that for n = 4, the splitting of band A is well-resolved (41 cm⁻¹), suggesting a significantly less symmetric hydration shell. Band B is blue shifted by +10 cm⁻¹ with respect to n = 4. The lowest-energy structures (see Figure 6) for n = 5 and 4 are similar; **5-I** and **4-I** both include a four-membered water ring bound to the nitrate ion. For n =5, the additional water molecule is doubly H-bonded to the core,

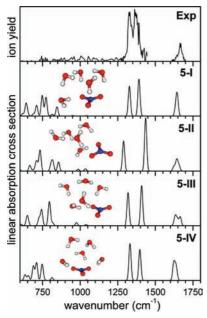


Figure 6. Experimental IRMPD (top) and simulated linear absorption spectra of $NO_3^-(H_2O)_5$. Simulated spectra, derived from B3LYP2/aug-cc-pVDZ scaled harmonic frequencies and intensities and convoluted using a Gaussian line shape function with a fwhm of 15 cm⁻¹, and structures are shown for four isomers (see text).

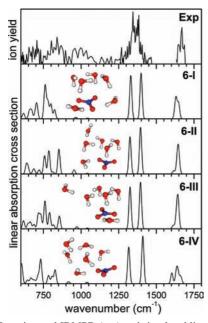


Figure 7. Experimental IRMPD (top) and simulated linear absorption spectra of $NO_3^-(H_2O)_6$. Simulated spectra, derived from B3LYP2/aug-cc-pVDZ scaled harmonic frequencies and intensities and convoluted using a Gaussian line shape function with a fwhm of 15 cm⁻¹, and structures are shown for four isomers (see text).

lying in the plane of the nitrate anion and accepting a H-bond from one of the out-of-plane waters. All other isomers lie considerably higher in energy and contain two water molecules that do not directly bind to the nitrate ion. Isomer **5-II** (+8 kJ/mol) is similar to **3-II**, with a ring of three waters connecting sideways to NO₃⁻. Isomer **5-III** (+11.1 kJ/mol) is similar to **5-II** but contains a water molecule that forms two H-bonds with NO₃⁻. Isomer **5-IV** (+11.2 kJ/mol) has a unique structure. It is related to isomer **3-I**, in which three water molecules are bound to NO₃⁻ in a bidentate fashion. However, the two remaining water molecules form bridging H-bonds, breaking the planar

symmetry. The simulated absorption spectrum of **5-I** (splitting: 63 cm⁻¹) shows the best agreement with the experimental data (41 cm⁻¹), while the calculated splittings for isomers **5-II** (146 cm⁻¹) and **5-III** (90 cm⁻¹) are much larger.

IVF. NO₃⁻(H₂O)₆. The experimental IRMPD spectrum of $NO_3^-(H_2O)_6$ (see Figure 7) is similar to that of the n = 5 cluster, but the splitting observed for band A (29 cm⁻¹) is smaller. Band B is observed at 1670 cm⁻¹ and has grown in relative intensity compared to the smaller clusters. Unresolved signal is observed below 1100 cm⁻¹ for the first time. The lowest-energy isomer, **6-I**, is similar to **5-I**, with a ring of four water molecules lying above the plane of the central anion, while the two remaining waters are H-bonded to one of the nitrate oxygen atoms, roughly lying in the plane of the central anion. In addition, both inplane water molecules accept H-bonds from out-of-plane ones. The next highest-energy isomer, **6-II** (+4 kJ/mol), is also a variation of the 5-I structure, although for this cluster, the outof-plane ring contains five water molecules, with the sixth water roughly in the plane of the nitrate anion doubly H-bonded to the core and accepting a H-bond from the solvent ring. Isomer **6-III** (+7 kJ/mol) again appears to be like **5-I**, but in this case, the extra water molecule forms a single hanging H-bond with one of the four ring molecules and does not bond to the anion. Isomer 6-IV (+18.7 kJ/mol) consists of three waters H-bonding to the nitrate core, while the remaining three waters form bridging H-bonds to the inner water molecules. The calculated spectra of **6-I**, **6-II**, and **6-III** give splittings of about 70 cm⁻¹, while that for 6-IV is about 90 cm⁻¹, all considerably larger than the experimental value of 29 cm⁻¹. The calculated water bend is approximately 1640 cm⁻¹ for all isomers, slightly lower than the experimental value.

V. Discussion and Conclusions

The most striking feature in the experimental IRMPD spectra is the variation of the v_3 splitting with size, which represents a sensitive probe of the hydration environment. For n = 3, no splitting and only a minor shift compared to the NO₃⁻•Ar data⁷ is observed, signaling a hydration shell of high symmetry. In contrast, the spectra for n = 2 and 5 show relatively large splittings (>40 cm⁻¹), indicating significantly more asymmetric hydration. Interestingly, the shift observed in the condensedphase data is even larger, in the range from 60 to 70 cm⁻¹.8,9 As it is difficult to imagine a more asymmetric hydration shell in solution than that in some of these small gas-phase clusters, this additional shift may result from the presence of counterions in solution, which are absent in our gas-phase clusters. Indeed, calculations on [CaNO₃(H₂O)₁₀]⁺ find a significant increase in the v_3 splitting to 140 cm⁻¹ in the presence of the Ca²⁺ counterion.9

To understand the nature of the v_3 splitting, we look at structure **1-I** in more detail. The B3LYP/aug-cc-pVDZ N-O bond lengths in bare NO₃ $^-$ are 1.26 Å. The H-bond interaction of the water molecule with the ion leads to a reduction of the symmetry from D_{3h} to C_{2v} , shortening one (1.25 Å) and lengthening two (1.27 Å) N-O bonds. Consequently, the originally doubly degenerate v_3 mode is split into its two components. In this particular case, these two modes are of a_1 and b_2 symmetry and correspond predominantly to the in-plane displacement of the N-atom parallel and perpendicular to the C_2 axis, respectively. In a simple picture, the frequency of the a_1 mode is blue shifted because the motion of the N-atom (parallel to the C_2 axis) is hindered by the water molecule on one side and by the somewhat closer O-atom (compared to bare NO₃ $^-$) on the other side. The b_2 mode, on the other hand, is red

shifted because this mode predominantly involves the two longer N-O bonds, which correspond to smaller force constants compared to bare NO_3^- .

Additional insight is gained from the evolution of the position (slight blue shift) and solvation-induced splitting of the v_3 band with the number of water molecules in the cluster. These trends are reproduced rather well by the scaled B3LYP/aug-cc-pVDZ harmonic frequencies (see Table 2), even though the extent of the splitting is overestimated, in particular, because the blueshifted part is predicted too high. On the other hand, the calculated position of the water bending modes is too low by \sim 1.5%. The calculations predict a minimum in the water bending frequency for n = 3, in agreement with experiment. For the larger clusters, we observed a monotonic increase of this frequency, which is not reproduced by the calculations. However, the effect is small. All of these errors depend on the empirical scaling factor one uses to correct the harmonic frequencies. Different scaling factors for different bonding motifs would improve agreement with experiment, but this does not lead to any additional physical insight. The reproduction of the overall experimental trends does suggest that our calculated structural motifs are reasonable.

The differences between the calculated and experimentally observed v_3 splittings are probably the result of the complex nature of the PES describing the water-nitrate interaction. For n=1, the PES in the vicinity of the $C_{2\nu}$ transition state (1-I) connecting the two C_s minima (1-I') is very flat, leading to pronounced deviations from the harmonic approximation, which cannot be recovered, even when anharmonic calculations based on numerical differentiation along the normal modes are performed. In other words, the flat PES leads to a significant coupling between the nitrate antisymmetric stretching and the water bending and wagging modes, which is not correctly described by our (one-dimensional) calculations and probably requires a multidimensional vibrational approach. Even though NO₃⁻ has a closed electronic shell, the intermediate value of 0.02 for the T1 diagnostic of the coupled cluster calculations suggests that some of the above-described discrepancies may also result from the multireference character of the electronic wave function, as one can expect when considering the possible resonance structures of the nitrate ion.

Not all calculated absorption bands are observed in the experimental spectra. This absence probably has two reasons. (1) Experimentally, the absorption of multiple photons is required to lead to photodissociation, for example, for n = 1 $(E_{\rm diss} \sim 60 \, {\rm kJ/mol})$, at least three to nine photons are needed in the spectral range from 1800 to 600 cm⁻¹. For the smaller clusters, like n = 1, one can assume that the absorption of a few photons in the discrete regime is required to reach the quasicontinuum regime. Thus, weak and anharmonic modes are considerably more difficult to observe in our IRMPD spectra than intense, harmonic modes. Calculations for n = 1 indicate that the anharmonicities of the modes in between 600 and 1800 cm⁻¹ are all similar and small, and thus, only the intensities need to be considered. (2) The absorbing cluster may change its structure and thus its absorption frequencies as it is "heated up" sequentially, one photon at a time. Consider the n = 1cluster, for example. The calculated absorption frequencies of **1-I**, the isomer that is present before irradiation, are 1648, 1379, 1325, and 762 cm⁻¹. The absorption of a single photon at 706 cm⁻¹ (water librational mode) is not sufficient to break both H-bonds but is enough to break a single one and form isomer **1-II** (+7.9 kJ/mol). Entropy will favor structure **1-II** over **1-I**, once it is accessible. However, isomer 1-II cannot absorb any additional photons because its absorption frequency (762 cm⁻¹; see Figure 2) is now out of resonance with the irradiation wavelength by more than 50 cm^{-1} . The water bending mode is affected similarly, but to a lesser extent; the vibrational frequency changes from 1648 (1-I) to 1633 cm⁻¹ (1-II). The predicted change for the ν_3 mode is smaller (1325, 1379 \rightarrow 1325 and 1393 cm⁻¹), and therefore, its intensity, which is the highest of all three modes, is affected the least by this conformational change. The mechanism described here is not specific to the nitrate ion but pertains to all microhydrated ions that contain water molecules bound in a bidentate fashion to the ion.

The present study resolves several issues regarding the structure of microhydrated nitrate ions. (1) The first three water molecules bind to the nitrate ion in a bidentate fashion, keeping the planar symmetry of the bare ion. Consequently, the groundstate structure of the n = 3 isomer does not contain a ring of three water molecules, as predicted previously.¹⁷ (2) Starting with n = 4, nonplanar structures with extensive water network formation are preferred. The dominating motif is not the n = 3structure with water molecules adding to the second hydration shell, as has been suggested, 16 but rather a four-membered water ring with additional water molecules adding to the first hydration shell up to n = 6. (3) The n = 6 cluster has neither D_{3h}^{20} nor C_3^{16} symmetry; the splitting in the ν_3 band clearly supports a lower-symmetry structure, probably of C_1 symmetry. With the exception of some small structural differences, the minimumenergy structures calculated for the n = 5 and 6 clusters are in good agreement with those located by Pathak et al., 17 and the calculated IR spectra for these isomers are also in good agreement with our experimental data.

Finally, a distinct structural transition of the hydration shell is observed in between n = 3 and 4. Below n = 4, double H-bond formation of water molecules to the nitrate ion is favored over single H-bond formation and interwater H-bonding. The lowest-energy isomers are formed by H-bonding in the plane of the nitrate anion. Breaking of the planar symmetry and the onset of extensive water-water hydrogen bonding are observed starting with n = 4. A ring of four water molecules singly H-bonded to each other is particularly stable and found as a structural motif in all of the larger, most stable clusters. In the larger clusters, the maximum number of H-bonds to the ionic core is maintained, while any remaining water O-H bonds favor an orientation which maximizes the number of interwater H-bonds. Up to n = 6, all water molecules directly bind to the nitrate ion, that is, comprise the first hydration shell. Isomers containing free O-H bonds and water molecules in the second hydration shell are found to lie higher in energy.

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